

SEP 20 2001  
TRANSMISSION OFFICE

Form PTO-1449 (Modified) Page 1 of 1 <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S                  INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Atty. Docket No.: 101.003		Serial No.: 09/769,577	
				Applicant: Johnston, et al.			
				Filing Date: January 25, 2001		Group: 1764	
<b>REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AJ						
	AK						
	AL						
	AM						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes      No
✓	AN	GB 2 333 351	7/21/1999	Great Britain			X
✓	AO	WO 97 21064	6/12/1997	PCT			X
✓	AP	DE 43 13 723	11/3/1994	Germany			X
✓	AQ	GB 671 573	5/7/1952	Great Britain			X
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
✓	AR	D. Reay, "Learning from Experiences with Compact Heat Exchangers", Doc. No. XP-002167972, CADDET Analyses Series No. 25, pgs. 32-42, 85-86, 98, 141-142, and XI-XII (6/1999)					
EXAMINER <i>Lund</i>				DATE CONSIDERED <i>5/4/04</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

RECEIVED  
 SEP 21 2001  
 TC 1700



Form PTO-1449 (Modified)

Page 1 of 1

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.:

101.003

Serial No.:

09/769,577

Applicant: Johnston et al.

Filing Date: January 25, 2001

Group: 1764

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
U	AA	4,075,092	2/21/1978	White et al.			
	AB	4,101,281	7/18/1978	Pagani			
	AC	4,153,855	5/8/1979	Feingold			
	AD	4,190,079	2/26/1980	White et al.			
	AE	4,231,979	11/4/1980	White et al.			
	AF	4,714,592	12/22/1987	Zanma et al.			
	AG	5,180,480	1/19/1993	Manz			
	AH	5,494,641	2/27/1996	Krstanovic			
	AJ	5,540,899	7/30/1996	Koves			
	AK	5,585,074	12/17/1996	Zardi et al.			
	AL	5,727,618	3/17/1998	Mundinger et al.			
	AM	5,744,100	4/28/1998	Krstanovic			
U	AN	5,804,701	9/8/1998	Berger			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
U	AO	0 386 692	6/3/1990	Europe			X	
	AP	0 386 693	3/6/1990	Europe			X	
	AQ	0 539 834	10/19/1992	Europe			X	
	AR	WO 95/01834	1/19/1995	PCT			X	
	AS	WO 96/12316	4/25/1996	PCT			X	
	AT	WO 96/39260	12/12/1996	PCT			X	
	AU	WO 96/42004	12/27/1996	PCT			X	
U	AV	WO 98.32535	7/30/1998	PCT			X	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AW	
EXAMINER	<i>[Signature]</i>	
DATE CONSIDERED		5/4/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.